COPY OF PAPERS ORIGINALLY FILED

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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/030,606 Filing Date February 25, 1998 RECEIVED First Named Inventor Jiangchun Xu 1642 Group Art Unit o be used for all correspondence AUG |0 8 2002 Minh Tam B Davis **Examiner Name** after initial filing) Attorney Docket No. 210121.428C3 TECH CENTER 1600/2900 ENCLOSURES (check all that apply) CD(s), Number Fee Transmittal Form **Assignment Papers** of CD(s) (for an Application) Fee Attached Drawing(s) Amendment/Response After Allowance **Request for Corrected Filing** Communication to Group After Final Receipt Appeal Communication to Affidavits/declaration(s) **Licensing-related Papers** Board of Appeals and **Extension of Time Request** Interferences Petition **Express Abandonment** Petition to Convert to a Appeal Communication to Request Group (Appeal Notice, Brief, Provisional Application Information Disclosure Reply Brief) Power of Attorney. Statement; Form PTO-1449 Revocation, Change of **Proprietary Information** Cited References Correspondence Address **Status Letter Certified Copy of Priority** Declaration Return Receipt Postcard Document(s) Statement under 37 CFR Additional Enclosure(s) Response to Missing Parts 3.73(b) (please identify below): under 37 C.F.R. 1.52 or 1.53 **Terminal Disclaimer** Response to Missing Small Entity Statement Parts/Incomplete Application Request for Refund Remarks 1 4 1 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Julie A. Urvater, Ph.D., Patent Agent Individual Name PATENT TRADEMARK OFFICE Signature **2**9, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for

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Typed or printed name

Signature

Patents, Washington, D.C. 20231 on the date specified below.

Tiffany Tarleton

Date: July 29, 2002

Complete if Known 09/030,606 FEE TRANSMITTAL **Application Number** February 25, 1998 Filing Date for FY 2002 5 2002 20 Jiangchun Xu First Named Inventor Me Minh Tam B Davis **Examiner Name** <u>AUG 0 8</u> 200 Patent fees are subject to annual revision. 1642 **Group Art Unit** ADDITAL AMOUNT OF PAYMENT 400 210121.428C3 TECH CENTER 1600/2900 Attorney Docket No.

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Applicant claims small entity status. See 37 CFR 1,27.								
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FE	EE CALCULATION							
1. BASIC FILING FEE								
Large Entity Small Entity	•							
	ce(\$) Fee Description Fee							
Code Code	Paid							
101 740 201	370 Utility filing fee							
	165 Design filling fee							
	255 Plant filing fee							
	370 Reissue filing fee							
114 160 214	80 Provisional filing							
117 100 2.1	fee							
	SUBTOTAL (1) (\$)							
2. EXTRA CLAIM FEES								
	Fee							
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103 18 203	9 Claims in excess of 20							
102 84 202	42 Independent claims in excess of 3							
104 280 204	140 Multiple dependent claim, if not paid							
100 04 000	** Paircus independent claims nunr							
109 84 209	42 Original patent							
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FEE CALCULATION (continued) 3. ADDITIONAL FEES							
Large Fee	Entity Fee	<u>Sn</u> Foe	<u>reil</u> Fee		Fee		
Code	(\$)	Code	(\$)	Fee Description	Paid		
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filling fee or cover sheet.			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for ex parte reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	400	216	200	Extension for reply within second month	400		
117	920	217	460	Extension for reply within third month			
118	1,440	218	720	Extension for reply within fourth month			
128	1,960	228	980	Extension for reply within fifth month			
119	320	219	160	Notice of Appeal			
120	320	220	160	Filing a brief in support of an appeal			
121	280	221	140	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,280	241	640	Petition to revive – unintentional			
142	1,280	242	640	Utility issue fee (or reissue)			
143	460	243	230	Design issue fee			
144	620	244	310	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Petitions related to provisional applications			
126	180	126	180	Submission of Information Disclosure Strnt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))			
179	740	279	370	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
her fee	(specify)						
Reduced by Basic Filing Fee Paid SUBTOTAL (3) (8) 400							

	SUBMITTED BY	· · · · · · · · · · · · · · · · · · ·	1 (1831H 50 S) 12 M) 63 (1) 62 M; 62 M (22)	
Name (Print/Type)	Julie A. Urvater, Ph.D.	Registration No. Attorney/Agent) 50,461		
Firm Name/ Address			00500	
Signature	Chile Osrat	Date July 29, 2002	PATENT TRADEMARK OFFICE	

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